

LLC, Crown Forex, LLC, Market Shot, LLC, PFG Coin and Bullion, Oxford Developers, S.A., Oxford FX Growth, L.P., Oxford Global Managed Futures Fund, UBS Diversified FX Advisors, LLC, UBS Diversified FX Growth L.P., and UBS Diversified FX Management, LLC (the "Relief Defendant Shell Companies"), (4) all funds, accounts, and other assets held by or for Relief Defendants Clifford Berg and Ellen Berg, which were received, directly or indirectly, from the Defendants or were acquired with funds or other assets received, directly or indirectly, from the Defendants, and (5) every other corporation, partnership, trust and/or other entity (regardless of form) which is directly or indirectly owned by or under the direct or indirect control of Cook or Kiley, or any individual working in concert with any of the Defendants. The estates for which R.J. Zayed, Carlson, Caspers, Vandenburg & Lindquist is hereby appointed Receiver are hereinafter referred to as the "Receiver Estates."

IT IS FURTHER ORDERED THAT:

I.

The Receiver shall have the following powers and duties:

A. To use reasonable efforts to determine the nature, location and value of all assets and property which the Receiver Estates own, possess, have a beneficial interest in, or control;

B. To engage and employ the law firm of Carlson, Caspers, Vandenburg & Lindquist, and, with the approval of the Court, any individuals or entities the Receiver deems necessary to assist in his or her duties ("Retained Personnel");

C. To take custody, control and possession of all the funds, property, premises, leases, and other assets of or in the possession or under the direct or indirect control of the Receiver Estates, to manage, control, operate and maintain the Receiver Estates, to use income, earnings, rents and profits of the Receiver Estates, with full power to sue for and collect, recover, receive and take into possession all goods, chattels, rights, credits, monies, effects, lands, books and records of accounts and other papers of the Receiver Estates;

D. To bring such legal actions based on law or equity in any state, federal, or foreign court as he or she deems necessary or appropriate in discharging his or her duties as Receiver;

E. To pursue, resist and defend all suits, actions, claims and demands which may now be pending or which may be brought by or asserted against the Receiver Estates;

F. To make such payments and disbursements from the funds so taken into his or her custody, control and possession or thereafter received, and to incur such expenses as may be necessary or advisable in the ordinary course of business in discharging his or her duties as Receiver;

G. To take such action as necessary and appropriate to prevent the dissipation or concealment of any funds or assets or for the preservation of any such funds and assets of the Receiver Estates;

H. To have the authority to issue subpoenas to compel testimony of persons or

production of records, consistent with the Federal Rules of Civil Procedure and the Local Rules for the United States District Court for the District of Minnesota (without being subject to the limits imposed by Fed. R. Civ. P. 26 (d)(1), except that notice of a subpoena does not have to be provided by the Receiver to any party) concerning any subject matter relating to the identification, preservation, collection and/or liquidation of the Receiver Estates;

I. To take any action which could be taken by the officers, directors, partners, members, and trustees of the Receiver Estates;

J. To suspend, terminate or grant a leave of absence to any employees of the Receiver Estates; and

K. To take such other action as may be approved by this Court.

II.

The Receiver and Retained Personnel are entitled to reasonable compensation and expense reimbursement from the Receiver Estates. Such compensation shall be in amounts commensurate with the services performed by the Receiver and Retained Personnel and shall be subject to the approval of the Court. The Receiver and Retained Personnel shall apply to the Court for such compensation and expense reimbursement monthly. The Commission shall have the opportunity to review and, at its sole discretion, object to any application of the Receiver and Retained Personnel for compensation. Amounts paid to the Receiver and Retained Personnel shall be paid from the assets frozen by the Court's Order dated November 23, 2009 ("Asset Freeze Order"),

and any extensions, renewals or modifications thereof.

III.

The Receiver shall not be required to post bond or give an undertaking of any type in connection with his or her fiduciary duties and obligations in this matter unless and until this Court so orders.

IV.

The Receiver and Retained Personnel are entitled to rely on all outstanding rules of law and Court orders and shall not be liable to anyone for their own good faith compliance with any order, rule, law, judgment, or decree. In no event shall the Receiver or Retained Personnel be liable to anyone for their good faith compliance with their duties and responsibilities as Receiver or Retained Personnel, nor shall the Receiver or Retained Personnel be liable to anyone for any actions taken or omitted by them except upon a finding by this Court that they acted or failed to act as a result of malfeasance, bad faith, gross negligence, or in reckless disregard of their duties.

V.

The Receiver shall establish one or more bank account(s), in his or her discretion, to deposit the Receiver Estates' frozen funds and any other funds the Receiver may recover. The Receiver shall use such funds for any legitimate purpose consistent with the Receiver's powers and duties and this Order, including paying fees and expenses of the Receiver and Retained Personnel, as approved by the Court.

VI.

Defendants Cook and Kiley, the Defendant Shell Companies, the Relief Defendants, their agents, servants, employees, and attorneys, and any persons acting for or on behalf of the Receiver Estates, are required to assist the Receiver in fulfilling his or her duties and obligations. As such, they must respond promptly and truthfully to all requests for information and documents from the Receiver.

VII.

All investors, borrowers, creditors, and other persons, and all others acting on behalf of any such investor, borrower, creditor or other persons, including sheriffs, marshals, other officers, deputies, servants, agents, employees and attorneys, are stayed from:

- A. Commencing, prosecuting, continuing or enforcing any suit or proceeding against or affecting any of the Defendants, Relief Defendants, or Receiver Estates;
- B. Using self-help or executing or issuing or causing the execution or issuance of any court attachment, subpoena, replevin, execution, or other process for the purpose of impounding or taking possession of or interfering with or creating or enforcing a lien upon any assets of the Receiver Estates, including, without limitation, any property owned by or in the possession of the Defendants, Relief Defendants, or the Receiver, wherever situated;
- C. Attempting to modify, cancel, terminate, call, extinguish, revoke or accelerate (the due date), of any lease, loan, mortgage, indebtedness, security agreement

or other agreement with the Defendants or Relief Defendants, or otherwise affecting the Receiver Estates, without the agreement of the Receiver; and

D. Doing any act to interfere with the taking control, possession, or management, by the Receiver, of any assets of the Receiver Estates, or to in any way interfere with or harass the Receiver, or to interfere in any manner with the exclusive jurisdiction of this Court over the Receiver Estates.

VIII.

From time to time, upon application of the Receiver, the Court shall reissue this Order and upon applications of the Receiver may amend this Order.

IX.

All persons, and all others acting on behalf of any such persons, including sheriffs, marshals, other officers, deputies, servants, agents, employees and attorneys, are ordered to turn over to the Receiver any and all property, including records of any nature, of which any of the Receiver Estates are the owners or have an interest in immediately upon receiving notice of the entry of this Order.

X.

No person holding or claiming any position of any sort with any of the Receiver Estates shall possess any authority to act by or on behalf of any of the Receiver Estates.

XI.

No shareholders, partners, members, or trustees of any of corporations, partnerships, limited liability companies, or trusts that are among the Receiver Estates

shall exercise any of their rights or powers with respect to the Receiver Estates until further order of the Court.

XII.

Defendants Cook and Kiley, the Defendant Shell Companies, the Relief Defendants, as well as their agents, servants, employees, attorneys, any persons acting for or on behalf of the Receiver Estates, and any persons receiving notice of this order by personal service or otherwise, are hereby restrained and enjoined from disposing, transferring, exchanging, assigning or in any way conveying any property or assets of the Receiver Estates and from the transaction of any business of the Receiver Estates except with the approval of the Receiver.

XIII.

Defendants Cook and Kiley, the Defendant Shell Companies, the Relief Defendants, their agents, servants, employees, and attorneys, any persons acting for or on behalf of the Receiver Estates, and any persons receiving notice of this order by personal service or otherwise, having possession of the property, business, books, records, accounts or assets of the Receiver Estates are hereby directed to deliver the same to the Receiver, his or her agents and/or employees.

XIV.

Defendants Cook and Kiley, the Defendant Shell Companies, the Relief Defendants, their agents, servants, employees, attorneys, any persons acting for or on behalf of the Receiver Estates, and entities under their direct or indirect control shall

cooperate with and assist the Receiver and shall take no action, directly or indirectly, to hinder, obstruct, or otherwise interfere with the Receiver, in the performance of his or her duties.

XV.

Any brokerage institution, financial institution, bank, savings and loan, mutual fund, or any other person, partnership, or corporation maintaining or having custody or control of any brokerage or deposit account or other assets of any of the Receiver Estates or under their control, that receives actual notice of this order by personal service, facsimile transmission or otherwise shall, within three (3) business days of receipt of that notice, file with the Court and serve on the Receiver and counsel for the Commission a certified statement setting forth, with respect to each such account or other asset, the balance in the account or description of the assets as of the close of business on the date of receipt of the notice.

XVI.

The Receiver shall be empowered, but is not required, to file voluntary petitions for relief under Title 11 of the United States Code (the Bankruptcy Code) for the Receiver Estates. If a bankruptcy petition is filed, the Receiver shall become, and shall be empowered to operate each of the Receiver Estates as a debtor in possession. The Receiver shall have all of the powers and duties as provided a debtor in possession under the Bankruptcy Code to the exclusion of any other person or entity. Should the Receiver elect to file petitions under Title 11 of the United States Code for any of the Receiver

Estates, he or she shall have 15 days from the date of such filing to file with the Bankruptcy Court any lists or schedules required to be filed with such petitions, this Court recognizing that the Receiver will require time to assemble such data for filing.

XVII.

The Receiver is authorized to communicate with all such persons as he or she deems appropriate to inform them of the status of this matter and the financial condition of the Receiver Estates. The Receiver is further authorized to record this Order with government offices and to serve this Order on any person as he or she deems appropriate in furtherance of his or her responsibilities in this matter. All government offices which maintain public files of security interests in real and personal property are hereby ordered to record this Order when requested to do so by the Receiver or the Commission.

XVIII.

The Receiver shall promptly notify the Court and counsel for the Commission of any failure or apparent failure of the Defendants or Relief Defendants to comply in any way with the terms of this Order.

XIX.

No provision of this Order shall require the waiver of, or otherwise impinge upon, any person's rights to assert any applicable Constitutional or other privilege in lieu of complying with the terms of this Order.

XX.

A copy of this Order shall be filed in Phillips v. Cook et al., Civil No. 09-cv-1732 (MJD/JJK) and CTFC v. Cook et al., 09-cv-3332 (MJD/JJK) ("Related Cases") and notwithstanding any order to the contrary in the Related Cases, the Receiver shall have the authority to take custody, control and possession of all assets of Receiver Estates that are frozen in the Related Cases.

XXI.

Notwithstanding any other order in this case, the Receiver shall have the authority to take custody, control and possession of all assets of Receiver Estates.

SO ORDERED.

December 11, 2009

DATE

s/ Michael J. Davis _____

**MICHAEL J. DAVIS
CHIEF JUDGE
UNITED STATES DISTRICT JUDGE**

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MINNESOTA**

U.S. Commodity Futures Trading Commission,)	No. 09 CV 3332MJD/JJK
)	
)	
Plaintiff,)	
)	
v.)	
)	
Trevor Cook d/b/a Crown Forex, LLC,)	
Patrick Kiley d/b/a Crown Forex, LLC,)	
Universal Brokerage FX and Universal)	
Brokerage FX Diversified, Oxford Global)	
Partners, LLC, Oxford Global Advisors,)	
LLC, Universal Brokerage FX Advisors,)	
LLC f/k/a UBS Diversified FX Advisors,)	
LLC, Universal Brokerage FX Growth,)	
L.P. f/k/a UBS Diversified FX Growth L.P.,)	
Universal Brokerage FX Management,)	
LLC f/k/a UBS Diversified FX)	
Management, LLC and UBS Diversified)	
Growth, LLC,)	
)	
Defendants.)	

ORDER CONTINUING APPOINTMENT OF THE TEMPORARY RECEIVER

On the basis of Plaintiff United States Commodity Futures Trading Commission’s (“Commission”) Motion for the Continued Appointment of the Receiver, the Court having been advised in the premises and having considered the materials before it:

THE COURT FINDS:

1. The Court has jurisdiction over the subject matter under the Commodity Exchange Act.

2. Section 6c of the Commodity Exchange Act, as amended (“Act”), 7 U.S.C. § 13a-1 (2006), permits this Court to continue the appointment of the Temporary Receiver.

3. It appears that there is good cause to believe that Defendants Trevor Cook d/b/a Crown Forex, LLC (“Cook”), Patrick Kiley d/b/a Crown Forex, LLC, Universal Brokerage FX and Universal Brokerage FX Diversified (“Kiley”), Oxford Global Partners, LLC (“OGP”), Oxford Global Advisors, LLC (“OGA”), Universal Brokerage FX Advisors, LLC fka UBS Diversified FX Advisors, LLC (“UBFXA”), Universal Brokerage FX Growth, L.P. fka UBS Diversified FX Growth, L.P. (“UBFXG”), Universal Brokerage FX Management, LLC, fka UBS Diversified FX Management, LLC (“UBFXM”), and UBS Diversified Growth, LLC (“UBSDG”), have engaged, are engaging in and are about to engage in violations of Sections 4b(a)(2)(A)-(C) of the Commodity Exchange Act (the “Act”), as amended by the Food, Conservation, and Energy Act of 2008, Pub. L. No. 110-246, Title XIII (the CFTC Reauthorization Act (“CRA”), §§ 13101-13204, 122 Stat. 1651 (enacted June 18, 2008), to be codified at 7 U.S.C. §§ 6b(a)(2)(A)-(C).

IT IS HEREBY ORDERED THAT:

The appointment of R.J. Zayed (“Zayed”) of Carlson, Caspers, Vandenburg & Lindquist as temporary Receiver (“Receiver”) in the *Ex Parte* Statutory Restraining Order entered November 23, 2009 shall continue until further order of this Court. Zayed is appointed as Receiver for the Defendants and their affiliates and subsidiaries, and all funds, properties, premises, accounts and other assets directly or indirectly owned, beneficially or otherwise, by the Defendants individually or collectively, including, but

not limited to, investors' funds, for the purpose of marshalling, preserving, accounting for and liquidating the assets that are subject to this Order and directing, monitoring and supervising Defendants' activities in accordance with the provisions of this Order set forth below.

IT IS FURTHER ORDERED that the Receiver shall be the agent of this Court in acting as Receiver under this Order.

I. Powers of the Receiver

IT IS FURTHER ORDERED that the Receiver is directed and authorized to accomplish the following:

- A. Take exclusive custody, control, and possession of all funds, property, and other assets in the possession of, or under the control of the Defendants wherever situated that he has a reasonable basis to believe is related to this action, and that is consistent with this Court's orders. The Receiver will also take exclusive custody, control, and possession of all customer funds and property and other assets traceable to customers that are in the possession of, or under the control of Defendants. The Receiver shall have full power to sue for, collect, receive and take possession of all goods, chattels, rights, credits, moneys, effects, land, leases, books, records, work papers, and records of accounts, including computer-maintained information and digital data and other papers and documents of Defendants, including documents related to customers or clients whose interests are now

held by or under the direction, possession, custody or control of the Defendants;

- B. The Receiver is authorized to enter and inspect any and all business premises of the Defendants or under the control of Defendants with reasonable notice and take any documents or other property related to this matter.
- C. Preserve, hold and manage all receivership assets, and perform all acts necessary to preserve the value of those assets, in order to prevent any loss, damage or injury to customers or clients;
- D. Prevent the withdrawal or misapplication of funds entrusted to the Defendants and otherwise protect the interests of customers or clients;
- E. Collect all money owed to the Defendants;
- F. Initiate, defend, compromise, adjust, intervene in, dispose of, or become a party to any actions or proceedings in state, federal or foreign jurisdictions necessary to preserve or increase the assets of the Defendants or to carry out his or her duties pursuant to this Order;
- G. Choose, engage and employ attorneys, accountants, appraisers, and other independent contractors and technical specialists, as he deems advisable or necessary in the performance of duties and responsibilities upon obtaining leave of this Court and thereafter, only upon further order of this Court;
- H. Issue subpoenas to obtain documents and records pertaining to the receivership (notice of a subpoena does not have to be provided by the

Receiver to any party), and conduct discovery in this action on behalf of the receivership estate;

- I. Open one or more bank accounts as designated depositories for funds of the Defendants. The Receiver shall deposit all funds of the Defendants in such designated accounts and shall make all payments and disbursements from the receivership estate from such accounts;
- J. Make payments and disbursements from the receivership estate that are necessary or advisable for carrying out the directions of, or exercising the authority granted by, this Order. The Receiver shall apply to the Court for prior approval of any payment of any debt or obligation incurred by the Defendants prior to the date of entry of this Order, except for payments that the Receiver deems necessary or advisable to secure assets of the Defendants; and
- K. Close out all commodities futures positions, or other outstanding positions and/or hold such assets without further court order.

II. Delivery to the Receiver

IT IS FURTHER ORDERED that, immediately upon service of this Order upon them, Defendants, and any other person or entity served with a copy of this Order shall, immediately or within such time as permitted by the Receiver in writing, deliver over to the Receiver;

- A. Possession and custody of all funds and all other assets, belonging to customers as described in the complaint;

- B. Possession and custody of documents of the Defendants, not previously produced to the CFTC, including but not limited to, all books and records of accounts, all financial and accounting records, balance sheets, income statements, bank records (including monthly statements, canceled checks, records of wire transfers, and check registers), client lists, title documents and other papers;
- C. Possession and custody of all precious metals, other commodities, funds and other assets belonging to members of the public now held by the Defendants;
- D. All keys, computer passwords, entry codes, PIN numbers and combinations to locks necessary to gain or to secure access to any of the assets or documents of the Defendants, including but not limited to, access to the Defendants' business premises, means of communication, accounts, computer systems, or other property; and
- E. Information identifying the accounts, employees, properties or other assets or obligations of the Defendants.

III. Cooperation with the Receiver

IT IS FURTHER ORDERED that the Defendants and all other persons or entities served with a copy of this Order shall cooperate fully with and assist the Receiver in the performance of his duties and any other appropriate assertion of any other privilege or right. This cooperation and assistance shall include, but not be limited to, providing any information to the Receiver that the Receiver deems necessary to exercising the

authority and discharging the responsibilities of the Receiver under this Order; providing any password required to access any computer or electronic files in any medium; and advising all persons who owe money to the Defendants that all debts should be paid directly to the Receiver.

IV. Stay

IT IS FURTHER ORDERED that except by leave of the Court, during the pendency of the receivership ordered herein, the Defendants, and all other persons and entities be and hereby are stayed from taking any action to establish or enforce any claim, right or interest for, against, on behalf of, or in the name of the Defendants, the Receiver, receivership assets, or the Receiver's duly authorized agents acting in their capacities as such, including but not limited to, the following actions:

- A. Commencing, prosecuting, litigating or enforcing any civil suit, except that actions may be filed to toll any applicable statute of limitations;
- B. Accelerating the due date of any obligation or claimed obligation, enforcing any lien upon, or taking or attempting to take possession of, or retaining possession of, property of the Defendants or any property claimed by the Defendants, or attempting to foreclose, forfeit, alter or terminate any of the Defendants' interests in property, whether such acts are part of a judicial proceeding or otherwise;
- C. Using self-help or executing or issuing, or causing the execution or issuance of any court attachment, subpoena, replevin, execution or other process for the purpose of impounding or taking possession of or

interfering with, or creating or enforcing a lien upon any property, wherever located, owned by or in the possession of the Defendants, or the Receiver or any agent of the Receiver; and

- D. Doing any act or thing to interfere with the Receiver taking control, possession or management of the property subject to the receivership, or to in any way interfere with the Receiver or the duties of the Receiver, or to interfere with the exclusive jurisdiction of this Court over the property and assets of the Defendants. This Paragraph does not stay the commencement or continuation of an action or proceeding by a governmental or regulatory unit, such as the National Futures Association (“NFA”), to enforce such governmental or regulatory unit’s police or regulatory power.

V. Related Case

IT IS FURTHER ORDERED that a copy of this Order shall be filed in Phillips v. Cook et al., 09-cv-1732 (MJD/JJK) and SEC v. Cook et al., 09-cv-3333 (“Related Cases”) and notwithstanding any order to the contrary in the Related Cases, the Receiver shall have the authority to take custody, control and possession of all receivership assets that are frozen in the Related Cases.

VI. Other Orders In This Case

IT IS FURTHER ORDERED that notwithstanding any other order in this case, the Receiver shall have the authority to take custody, control and possession of all receivership assets.

VII. Receiver’s Report to the Court and Compensation

IT IS FURTHER ORDERED that the Receiver maintain written accounts, itemizing receipts and expenditures, describing properties held or managed, and naming the depositories of receivership funds; make such written accounts and supporting documentation available to the Commission for inspection, and, within ninety (90) days of being appointed and periodically thereafter, as directed by the Court, file with the Court and serve on the parties a report summarizing efforts to marshal and collect assets, administer the receivership estate, and otherwise perform the duties mandated by this Order.

IT IS FURTHER ORDERED that the Receiver and all personnel hired by the Receiver (“Hired Personnel”) as herein authorized, including counsel to the Receiver, are entitled to reasonable compensation for the performance of duties pursuant to this Order and for the cost of actual out-of-pocket expenses incurred by them for those services authorized by this Order that when rendered were (1) reasonably likely to benefit the receivership estate or (2) necessary to the administration of the estate. The Receiver and Hired Personnel shall be compensated solely out of funds now held by or in the possession or control of or which may in the future be received by the receivership Defendants and shall not be entitled to any compensation from the Commission. The Receiver shall file with the Court and serve on the parties periodic requests for the payment of such reasonable compensation, with the first such request filed no more than ninety (90) days after the date of this Order and subsequent requests filed quarterly thereafter. The requests for compensation shall itemize the time and nature of services rendered by the Receiver and all personnel hired by the Receiver. The Receiver shall not

increase the hourly rates used as the bases for such fee applications without prior approval of the Court.

VIII. *No Bond*

IT IS FURTHER ORDERED that the Receiver is appointed without bond.

IX. *No Liability*

IT IS FURTHER ORDERED that Receiver and Hired Personnel are entitled to rely on all outstanding rules of law and Court orders and shall not be liable to anyone for their own good faith compliance with any order, rule, law, judgment, or decree. In no event shall the Receiver or Hired Personnel be liable to anyone for their good faith compliance with their duties and responsibilities as Receiver or Hired Personnel, nor shall the Receiver or Hired Personnel be liable to anyone for any actions taken or omitted by them except upon a finding by this Court that they acted or failed to act as a result of malfeasance, bad faith, gross negligence, or in reckless disregard of their duties.

X. *Court Maintains Jurisdiction*

IT IS FURTHER ORDERED that this Order shall remain in full force and effect until further order of this Court, and that this Court retains jurisdiction of this matter for all purposes.

Dated: December 11, 2009

s/Michael J. Davis
Chief Judge Michael J. Davis
United States District Court

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MINNESOTA

UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,

Plaintiff,

v.

JASON BO-ALAN BECKMAN and
THE OXFORD PRIVATE CLIENT
GROUP, LLC,

Defendants,

and

HOLLIE BECKMAN,

Relief Defendant.

Civil Action No. 11-cv-574 (MJD/FLN)

ORDER APPOINTING RECEIVER

On the basis of Plaintiff United States Securities and Exchange Commission’s (“Commission”) Motion for an Asset Freeze, Appointment of a Receiver, Preliminary Injunction, and Other Ancillary Relief (“Motion”), the Court having been advised in the premises and having considered the materials before it:

IT IS HEREBY ORDERED THAT:

The Court appoints R.J. Zayed, of Carlson, Caspers, Vandenburg & Lindquist, as Receiver, without bond, for (1) the estate of Defendant Jason Bo-Alan Beckman (“Beckman”); (2) Defendant The Oxford Private Client Group, LLC (“Oxford PCG”); (3)

all funds, accounts, and other assets held by or for the benefit of Relief Defendant Hollie Beckman which were received, directly or indirectly, from the Defendants or were acquired with funds or other assets received, directly or indirectly, from the Defendants; and (4) every other corporation, company, partnership, trust and/or other entity (regardless of form) which is directly or indirectly owned by or under the direct or indirect control of Defendant Beckman, Defendant Oxford PCG, or Relief Defendant Hollie Beckman (hereinafter (1)-(4) referred to as "Receiver Estates").

IT IS FURTHER ORDERED THAT:

I.

The Receiver shall have the following powers and duties:

A. To use reasonable efforts to determine the nature, location and value of all assets and property which the Receiver Estates own, possess, have a beneficial interest in, or control;

B. To engage and employ the law firm of Carlson, Caspers, Vandenburg & Lindquist and, with the approval of the Court, any individuals or entities the Receiver deems necessary to assist in his duties ("Retained Personnel");

C. To take custody, control and possession of all the funds, property, premises, leases, and other assets of or in the possession or under the direct or indirect control of the Receiver Estates, to manage, control, operate and maintain the Receiver Estates, to use income, earnings, rents and profits of the Receiver Estates, with full power to sue for and collect, recover, receive and take into possession all goods, chattels, rights, credits,

monies, effects, lands, books and records of accounts and other papers of the Receiver Estates;

D. To bring such legal actions based on law or equity in any state, federal, or foreign court as he deems necessary or appropriate in discharging his duties as Receiver;

E. To pursue, resist and defend all suits, actions, claims and demands which may now be pending or which may be brought by or asserted against the Receiver Estates;

F. To make such payments and disbursements from the funds so taken into his custody, control and possession or thereafter received, and to incur such expenses as may be necessary or advisable in the ordinary course of business in discharging his duties as Receiver;

G. To take such action as necessary and appropriate to prevent the dissipation or concealment of any funds or assets or for the preservation of any such funds and assets of the Receiver Estates;

H. To have the authority to issue subpoenas to compel testimony of persons or production of records, consistent with the Federal Rules of Civil Procedure and the Local Rules for the United States District Court for the District of Minnesota (without being subject to the limits imposed by Fed. R. Civ. P. 26(d)(1), except that notice of a subpoena does not have to be provided by the Receiver to any party) concerning any subject matter relating to the identification, preservation, collection and/or liquidation of the Receiver Estates;

- I. To take any action which could be taken by the officers, directors, partners, members, shareholders, and trustees of Oxford PCG;
- J. To suspend, terminate or grant a leave of absence to any employees or independent contractors of Oxford PCG;
- K. To obtain credit reports of any kind from Experian, TransUnion, Equifax, and any other credit agency, for Jason Bo-Alan Beckman, Oxford PCG, and Hollie Beckman, as well as any other Receiver Estate; and
- L. To take such other action as may be approved by this Court.

II.

The Receiver and Retained Personnel are entitled to reasonable compensation and expense reimbursement from the Receiver Estates. Such compensation shall be in amounts commensurate with the services performed by the Receiver and Retained Personnel and shall be subject to the approval of the Court. The Receiver and Retained Personnel shall apply to the Court for such compensation and expense reimbursement monthly. The Commission shall have the opportunity to review and, at its sole discretion, object to any application of the Receiver and Retained Personnel for compensation. Amounts paid to the Receiver and Retained Personnel shall be paid from the assets frozen by (i) the Court's Order dated March 8, 2011 in this action (the "Asset Freeze Order"), and (ii) the Court's Order Imposing Asset Freeze dated November 23, 2009 in *SEC v. Cook et al.* (09-cv-3333, Docket No. 14), and any extensions, renewals or modifications thereof.

III.

The Receiver shall not be required to post bond or give an undertaking of any type in connection with his fiduciary duties and obligations in this matter unless and until this Court so orders.

IV.

The Receiver and Retained Personnel are entitled to rely on all outstanding rules of law and Court orders and shall not be liable to anyone for their own good faith compliance with any order, rule, law, judgment, or decree. In no event shall the Receiver or Retained Personnel be liable to anyone for their good faith compliance with their duties and responsibilities as Receiver or Retained Personnel, nor shall the Receiver or Retained Personnel be liable to anyone for any actions taken or omitted by them except upon a finding by this Court that they acted or failed to act as a result of malfeasance, bad faith, gross negligence, or in reckless disregard of their duties.

V.

The Receiver shall use one or more bank account(s) including the Receivership account opened in *SEC v. Cook et al.* (09-cv-3333), in his discretion, to deposit the Receiver Estates' frozen funds and any other funds the Receiver may recover. The Receiver shall use such funds for any legitimate purpose consistent with the Receiver's powers and duties and this Order, including paying fees and expenses of the Receiver and Retained Personnel, as approved by the Court.

VI.

Defendant Beckman, Defendant Oxford PCG, Relief Defendant Hollie Beckman, their agents, servants, employees, independent contractors, and attorneys, and any persons acting for or on behalf of the Receiver Estates, are required to assist the Receiver in fulfilling his duties and obligations. As such, they must respond promptly and truthfully to all requests for information and documents from the Receiver.

VII.

All investors, borrowers, creditors, and other persons, and all others acting on behalf of any such investor, borrower, creditor or other persons, including sheriffs, marshals, other officers, deputies, servants, agents, employees, independent contractors, and attorneys, are stayed from:

A. Commencing, prosecuting, continuing, settling, or enforcing any suit, proceeding, award, judgment, settlement or lien against or affecting any of the Receiver Estates;

B. Using self-help or executing or issuing or causing the execution or issuance of any court attachment, subpoena, replevin, execution, or other process for the purpose of impounding or taking possession of or interfering with or creating or enforcing a lien upon any assets of the Receiver Estates, including, without limitation, any property owned by or in the possession of the Receiver Estates, wherever situated;

C. Attempting to modify, cancel, terminate, call, extinguish, revoke or accelerate (the due date), of any lease, loan, mortgage, indebtedness, security agreement or other agreement with or otherwise affecting the Receiver Estates, without the

agreement of the Receiver; and

D. Doing any act that may interfere with the taking control, possession, or management, by the Receiver, of any assets of the Receiver Estates, diminish the value of the assets of the Receiver Estates, in any way interfere with or harass the Receiver, or interfere in any manner with the exclusive jurisdiction of this Court over the Receiver Estates.

This Order includes, but is not limited to, the foreclosure and related Sheriff's sale scheduled for 10:00 a.m. on March 14, 2011 of the property at 5140 Terraceview Lane North in Plymouth, Minnesota involving the Mortgage Electronic Registration Systems, Inc.

VIII.

From time to time, upon application of the Receiver, the Court shall reissue this Order and upon applications of the Receiver may amend this Order.

IX.

All persons, and all others acting on behalf of any such persons, including sheriffs, marshals, other officers, deputies, servants, agents, employees, independent contractors, and attorneys, are ordered to turn over to the Receiver any and all property of any nature, including but not limited to all real and personal property; businesses; accounts; documents, electronically stored information, and tangible things as defined in Rule 34 of the Federal Rules of Civil Procedure; and any other asset of any kind of which any of the Receiver Estates are the owners or have an interest in immediately upon

receiving notice of the entry of this Order.

X.

No person holding or claiming any position of any sort with any of the Receiver Estates shall possess any authority to act by or on behalf of any of the Receiver Estates.

XI.

No officers, directors, partners, members, shareholders, and trustees of any corporations, partnerships, companies, trusts and/or other entity (regardless of form) that are among the Receiver Estates shall exercise any of their rights or powers with respect to the Receiver Estates until further order of the Court.

XII.

Defendant Beckman, Defendant Oxford PCG, Relief Defendant Hollie Beckman, as well as their agents, servants, employees, independent contractors, attorneys, any persons acting for or on behalf of the Receiver Estates, and any persons receiving notice of this Order by personal service or otherwise, are hereby restrained and enjoined from disposing, transferring, exchanging, assigning, or in any way conveying any property or assets of the Receiver Estates, and are restrained and enjoined from transacting any business of the Receiver Estates, except with the prior approval of the Receiver.

XIII.

Defendant Beckman, Defendant Oxford PCG, Relief Defendant Hollie Beckman, their agents, servants, employees, independent contractors, and attorneys, any

persons acting for or on behalf of the Receiver Estates, and any persons receiving notice of this order by personal service or otherwise, having possession of any property of any nature, including but not limited to all real and personal property; businesses; accounts; documents, electronically stored information, and tangible things as defined in Rule 34 of the Federal Rules of Civil Procedure; and any other asset of any kind of the Receiver Estates are hereby directed to deliver the same to the Receiver, his agents and/or employees.

XIV.

Defendant Beckman, Defendant Oxford PCG, Relief Defendant Hollie Beckman, their agents, servants, employees, independent contractors, attorneys, any persons acting for or on behalf of the Receiver Estates, and entities under their direct or indirect control shall cooperate with and assist the Receiver and shall take no action, directly or indirectly, to hinder, obstruct, or otherwise interfere with the Receiver, in the performance of his duties.

XV.

Any brokerage institution, financial institution, bank, savings and loan, mutual fund, or any other person, partnership, or corporation maintaining or having custody or control of any brokerage or deposit account or other assets of any of the Receiver Estates or under their control, that receives actual notice of this Order by personal service, facsimile transmission or otherwise shall, within three (3) business days of receipt of that notice, file with the Court and serve on the Receiver and counsel for the Commission a

certified statement setting forth, with respect to each such account or other asset, the balance in the account or description of the assets as of the close of business on the date of receipt of the notice.

XVI.

The Receiver shall be empowered, but is not required, to file voluntary petitions for relief under Title 11 of the United States Code (the Bankruptcy Code) for the Receiver Estates. If a bankruptcy petition is filed, the Receiver shall become, and shall be empowered to operate each of the Receiver Estates as a debtor in possession. The Receiver shall have all of the powers and duties as provided a debtor in possession under the Bankruptcy Code to the exclusion of any other person or entity. Should the Receiver elect to file petitions under Title 11 of the United States Code for any of the Receiver Estates, he shall have 15 days from the date of such filing to file with the Bankruptcy Court any lists or schedules required to be filed with such petitions, this Court recognizing that the Receiver will require time to assemble such data for filing.

XVII.

The Receiver is authorized to communicate with all such persons as he deems appropriate to inform them of the status of this matter and the financial condition of the Receiver Estates. The Receiver is further authorized to record this Order with government offices and to serve this Order on any person as he deems appropriate in furtherance of his responsibilities in this matter. All government offices which maintain public files of security interests in real and personal property are hereby ordered to record this Order

when requested to do so by the Receiver or the Commission.

XVIII.

The Receiver shall promptly notify the Court and counsel for the Commission of any failure or apparent failure of Defendant Beckman, Defendant Oxford PCG, or Relief Defendant Hollie Beckman to comply in any way with the terms of this Order.

XIX.

No provision of this Order shall require the waiver of, or otherwise impinge upon, any person's rights to assert any applicable constitutional or other privilege in lieu of complying with the terms of this Order.

SO ORDERED.

March 8, 2011
DATE

s/ Michael J. Davis
MICHAEL J. DAVIS
CHIEF JUDGE
UNITED STATES DISTRICT COURT

EXHIBIT D
GREENE
ESPEL
ATTORNEYS & COUNSELORS

KATHRYN N. HIBBARD
DIRECT DIAL NO. (612) 373-8346
KHIBBARD@GREENEESPEL.COM

December 14, 2009

VIA U.S. MAIL

The Honorable Janet N. Poston
Hennepin County District Court
300 South Sixth Street, C-3
Minneapolis, MN 55482-0332

Re: *The Oxford Private Client Group, LLC, et al. v. Trevor Cook, et al.*
Court File No. 27-CV-09-21722

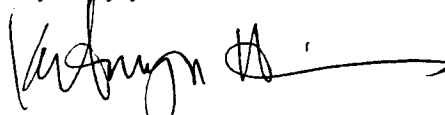
Dear Judge Poston:

Enclosed is a copy of the Second Amended Order Appointing Receiver in *United States Securities & Exchange Comm'n v. Trevor Cook, et al.*, No. 09-cv-3333 (MJD/JJK) [Doc. 68]. Defendants Trevor Cook and Oxford Global Partners, LLC, are also named as Defendants in that matter, as well.

Section VII.A of the Second Amended Order Appointing Receiver states that all persons are stayed from “[c]ommencing, prosecuting, continuing or enforcing any suit or proceeding against or affecting any of the Defendants, Relief Defendants, or Receiver Estates.” Pursuant to that provision, it is Plaintiffs’ understanding that this lawsuit, which includes claims against Mr. Cook and Oxford Global Partners, LLC, is stayed.

Thank you.

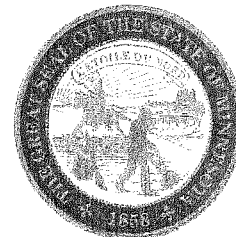
Very truly yours,



Kathryn N. Hibbard

KNH/jmo
Enclosure

c: R.J. Zayed
Tara Norgard
John Thompson
John Neve

STATE OF MINNESOTA
FOURTH JUDICIAL DISTRICT COURT

JANET N. POSTON
JUDGE
HENNEPIN COUNTY GOVERNMENT CENTER
MINNEAPOLIS, MINNESOTA 55487-0422
(612) 348-7199
FAX (612) 348-2131

November 18, 2013

**RE: The Oxford Private Client Group LLC, Jason Bo-Alan Beckman,
Holly Beckman, v. Trevor Cook, Oxford Global Partners LLC.**

Court File No. 27-CV-09-21722

To all parties:

The Court is writing to follow-up on the above-entitled matter. The case was put on inactive status in December of 2009 pursuant to a Federal court order. Please advise the Court on the status of the Federal case, and whether this State court case needs to be re-opened to be resolved, or to be dismissed for failure to prosecute.

Sincerely,

Judge Poston
JNP/tcw

cc: COURT FILE
Hollie Beckman, *pro se*
Jason Bo-Alan Beckman, *pro se*
The Oxford Private Client Group, LLC, *pro se*
John D. Thompson, Esq.
John R. Neve, Esq.

CARLSON CASPERS

INTELLECTUAL
PROPERTY
LITIGATION AND
COUNSELING

Brenton A. Elswick
Direct Dial: 612-436-9667
E-mail: belswick@carlsoncaspers.com

November 25, 2013

By U.S. Mail

The Honorable Janet N. Poston
Judge, Fourth Judicial District Court
Hennepin County Government Center
Minneapolis, MN 55487-0422

**Re: CFTC v. Cook, et al., No. 09-cv-3332 (D. Minn.)
SEC v. Cook, et al., No. 09-cv-3333 (D. Minn.)
SEC v. Beckman, et al., No 11-cv-574 (D. Minn.)**

***The Oxford PCG, LLC, et al. v. Trevor Cook, et al.,
Court File No. 27-CV-21722***

Dear Judge Poston:

I represent the Court-appointed Receiver, R.J. Zayed, in the above-referenced SEC and CFTC matters and write in response to the Court's November 18, 2013 letter requesting the status of the Federal case that stayed *Oxford PCG LLC, et al. v. Trevor Cook, et al.*, Court File No. 27-CV-09-21722.

The Hennepin County *Oxford PCG v. Cook* action stems from the second largest Ponzi scheme in Minnesota history, which defrauded over 700 victim investors of nearly \$160 million. The massive fraud has resulted in criminal pleas and convictions of five people, including individual parties to the instant lawsuit, Jason Bo-Alan Beckman and Trevor Cook, who are now serving lengthy criminal sentences.

The fraud spawned a Federal Receivership which originated from a series of orders from Chief Judge Davis of the District Court for the District of Minnesota. I have enclosed relevant copies of these orders for the Court's convenience. On November 23, 2009, Chief Judge Davis appointed Mr. Zayed as Receiver over the estates of, among others, Trevor Cook and Oxford Global Partners LLC. *See, e.g., Second Amended Order Appointing Receiver*, No. 09-cv-3333, Doc. 68 (D. Minn. Dec. 11, 2009). Then on March 8, 2011, Chief Judge Davis appointed Mr. Zayed as Receiver over the estates of Mr. Jason Bo-Alan Beckman and The Oxford Private Client Group LLC. *Order Appointing Receiver*, No. 11-cv-574, Doc. 10 (D. Minn. Mar. 11, 2009). That Order also appointed Mr. Zayed as Receiver over "all funds, accounts, and other assets held by or for the benefit of Relief Defendant Hollie Beckman which were received, directly or indirectly, from the Defendants. . . ." *Id.* at 2. All three Receivership Orders remain in effect.

EXHIBIT F

The Honorable Janet N. Poston
November 25, 2013
Page 2

Those Orders place the Receiver in the very strange position of standing in the shoes of all litigants in this case. Without acknowledging the legal or factual validity of Mrs. Beckman's claim, any claim that she has originated from the Ponzi scheme and, thus, belongs to the Receiver for the benefit of the victims. *Order Appointing Receiver*, Doc. 10, §IX ("All persons . . . are ordered to turn over to the Receiver any and all property of any nature . . . and any other asset of any kind which any of the Receiver Estates are the owners of or have an interest in . . ."). Furthermore, the accounting and return of investment funds prayed for in the *Oxford PCG v. Cook* lawsuit will be accomplished, to the extent possible, by court order in the Receivership.

At the end of the Receivership, a final payout to the victims will be made and the stay on litigation will be lifted. None of the entities named as parties here will have assets, nor will they even continue to exist. Mr. Cook has been sentenced to a lengthy prison term and is under a restitution order requiring him to pay more than \$158,000,000; likewise, Mr. Beckman has been sentenced to a lengthy prison term and is under a restitution order requiring him to pay more than \$155,000,000. The Receiver cannot see any reason to waste this Court's resources or spend the Receiver's limited funds litigating a controversy instituted between the two men at the top of the Ponzi scheme and their entities.

Therefore, the Receiver respectfully asks that this case be dismissed. In the alternative, the Receiver asks that the Court Order Mrs. Beckman to show cause why the case should not be dismissed in light of provisions of the Receivership Orders discussed above.

Respectfully submitted,



Brenton A. Elswick

cc: Hollie Beckman, *pro se*

CARLSON CASPERS

INTELLECTUAL
PROPERTY
LITIGATION AND
COUNSELING

Brenton A. Elswick
Direct Dial: 612-436-9667
E-mail: belswick@carlsoncaspers.com

December 6, 2013

By U.S. Mail

Mrs. Hollie Beckman
8903 Inland Lane North
Maple Grove, MN 55311

**Re: CFTC v. Cook, et al., No. 09-cv-3332 (D. Minn.)
SEC v. Cook, et al., No. 09-cv-3333 (D. Minn.)
SEC v. Beckman, et al., No 11-cv-574 (D. Minn.)**

***The Oxford PCG, LLC, et al. v. Trevor Cook, et al.,
Court File No. 27-CV-21722***

Dear Mrs. Beckman:

I represent the Court-appointed Receiver, R.J. Zayed, in the above-referenced SEC and CFTC matters. I write to follow-up on the Court's November 18, 2013 letter requesting the status of the Federal case that stayed *Oxford PCG LLC, et al. v. Trevor Cook, et al.*, Court File No. 27-CV-09-21722, and our response to the Court regarding same – copies of which were forwarded to you.

You are a named Plaintiff in the *Oxford PCG v. Trevor Cook*, Minnesota state court action which was filed in August 2009. For the reasons we outlined in our November 25, 2013 correspondence to the Court,¹ we believe this matter should be immediately dismissed. We believe this because the relief sought is redundant pursuant to Court Order in the Federal Receivership and also because relief ultimately obtained by you, if any, would belong to the Receiver Estate for distribution to the defrauded investors. *See Order Appointing Receiver*, No. 11-cv-574, Doc. 10, §§ IX, XIII, XIV (D. Minn. Mar. 8, 2011).² Simply put, there is nothing for you to gain from pursuing this lawsuit any further. Therefore, I have enclosed a Stipulation for Dismissal for your review and signature. By signing the Stipulation for Dismissal, you agree that the *Oxford PCG v. Cook* case should be dismissed forever. We appreciate your consideration of this request and consider dismissal to be in everyone's best interest.

¹ A copy of this letter is enclosed herein for your convenience.

² Copies of the *Order Appointing Receiver* and *Order Imposing Asset Freeze and Other Ancillary Relief* in the *SEC v. Beckman* action are enclosed. *Order Imposing Asset Freeze*, No. 11-cv-574, Doc. 9 (D. Minn. Mar. 8, 2011).

EXHIBIT G

Mrs. Hollie Beckman
December 6, 2013
Page 2

On a related matter, you remain under Court Order to repay the outstanding \$5,151.20 loan paid to you from the Receivership account.³ Please contact us immediately so that we can discuss repayment. If you have retained legal counsel to assist with this or any other matter, including for the resolution of any outstanding debts or the contemplation of bankruptcy, please have them contact me immediately so that we may discuss matters.

Best regards,

A handwritten signature in black ink, appearing to read "B. Elswick", written in a cursive style.

Brenton A. Elswick

Encl.

³ Order, No. 11-cv-574, Doc. 37 (D. Minn. Apr. 1, 2011), also enclosed herein.

STATE OF MINNESOTA

DISTRICT COURT

COUNTY OF HENNEPIN

FOURTH JUDICIAL DISTRICT

Case Type: Other Civil

The Oxford Private Client Group, LLC, Jason
Bo-Alan Beckman and Hollie Beckman,

Case No. 27-cv-09-21722
(Hon. Janet N. Poston)

Plaintiffs,

v.

**STIPULATION FOR
DISMISSAL WITH PREJUDICE**

Trevor Cook and Oxford Global Partners,
LLC,

Defendants.

WHEREAS, Plaintiffs The Oxford Private Client Group, LLC (“Oxford PCG”), Jason Bo-Alan Beckman (“Mr. Beckman”) and Hollie Beckman, through their counsel Greene Espel, P.L.L.P., filed a Summons and Complaint and Certificate of Representation on August 27, 2009; and

WHEREAS, on September 14, 2009, this matter was assigned to the Honorable Janet M. Poston, District Judge, Fourth Judicial District; and

WHEREAS, on October 15, 2009, Defendant Trevor Cook (“Cook”), by and through his counsel, Oberman Thompson & Segal, LLC, filed an Answer and Counterclaim responding to the Complaint and relief sought therein; and

WHEREAS, on November 3, 2009, Plaintiffs Oxford PCG and Mr. Beckman, through their counsel Greene Espel, P.L.L.P., filed a Joint Answer to Counterclaim objecting to the relief sought therein; and

WHEREAS, on November 23, 2009, the United States Securities and Exchange Commission (“SEC”) and the United States Commodity Futures Trading Commission (“CFTC”)

each filed a civil action against Cook, Oxford Global Partners, LLC, and others in the United States District Court, District of Minnesota, *SEC v. Cook et al.*, Civil File No. 09-cv-3333 (MJD/JJK) and *CFTC v. Cook et al.*, Civil File No. 09-cv-3332 (MJD/JJK); and

WHEREAS, on November 23, 2009, the U.S. District Court issued an Order Imposing Asset Freeze and Other Ancillary Relief (Civil File No. 09-cv-3333, Dkt. No. 14 (D. Minn.)) and an Ex Parte Statutory Restraining Order (collectively, "Cook Asset Freeze Orders"); and

WHEREAS, on November 24, 2009, the U.S. District Court appointed R.J. Zayed as Receiver in the above-referenced SEC and CFTC civil actions (Dkt. No. 18), and authorized the Receiver to take custody, control, and possession of all assets covered under the Cook Asset Freeze Orders and to pursue, resist, and defend all suits, actions, claims and demands pending against all assets covered under the Cook Asset Freeze Orders; and

WHEREAS, on January 7, 2010, Green Espel, P.L.L.P., counsel for Oxford PCG, Mr. Beckman, and Hollie Beckman, filed a Notice of Withdrawal of Counsel in the above-captioned action; and

WHEREAS, on March 7, 2011, the SEC filed a civil action against Mr. Beckman and Oxford PCG, as Defendants, and Hollie Beckman, as Relief Defendant, in the U.S. District Court, District of Minnesota, *SEC v. Cook et al.*, Civil File No. 11-cv-574 (MJD/FLN); and

WHEREAS, on March 8, 2011, the U.S. District Court issued an Order Imposing Asset Freeze and Other Ancillary Relief and Setting Hearing on Motion for Preliminary Injunction (Civil File No. 11-cv-574, Dkt. No. 9 (D. Minn.)) ("Beckman Asset Freeze Order"); and

WHEREAS, on March 8, 2011, the U.S. District Court appointed R.J. Zayed as Receiver in the above-referenced Oxford PCG and Beckman SEC civil actions (Dkt. No. 10), and authorized the Receiver to take custody, control, and possession of all assets covered under the

Beckman Asset Freeze Order and to pursue, resist, and defend all suits, actions, claims and demands pending against all assets covered under the Beckman Asset Freeze Orders;

THEREFORE, pursuant to the Receiver's authority under the aforementioned Orders of the U.S. District Court and pursuant to Minn. R. Civ. P. 41.01(a), Plaintiff Hollie Beckman and the Receiver hereby stipulate and agree to the dismissal with prejudice of the above-captioned action, without costs, disbursements, or attorneys' fees to either party. The Parties consent to and request an Order of this Court dismissing the Complaint with prejudice and on the merits.

Dated: December __, 2013

Hollie Beckman
8903 Inland Lane North
Maple Grove, MN 55311
763-420-0263

Dated: December __, 2013

Tara C. Norgard #307683
Russell J. Rigby #323652
Brenton A. Elswick #388816
Carlson, Caspers, Vandenburg,
Lindquist & Schuman, P.A.
225 S. Sixth Street, Suite 4200
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tnorgard@carlsoncaspers.com
rrigby@carlsoncaspers.com
belswick@carlsoncaspers.com

*Attorneys for R.J. Zayed, Court-appointed
Receiver for The Oxford Private Client Group,
LLC, Jason Bo-Alan Beckman, Trevor Cook, and
Oxford Global Partners, LLC.*

**By and on Behalf of The Oxford Private Client
Group, LLC, Jason Bo-Alan Beckman, Trevor
Cook, and Oxford Global Partners, LLC.**

CARLSON --- CASPERS

INTELLECTUAL
PROPERTY
LITIGATION AND
COUNSELING

Brenton A. Elswick
Direct Dial: 612-436-9667
E-mail: belswick@carlsoncaspers.com

December 23, 2013

By U.S. Mail

Mrs. Hollie Beckman
8903 Inland Lane North
Maple Grove, MN 55311

**Re: *CFTC v. Cook, et al.*, No. 09-cv-3332 (D. Minn.)
SEC v. Cook, et al., No. 09-cv-3333 (D. Minn.)
SEC v. Beckman, et al., No 11-cv-574 (D. Minn.)**

***The Oxford PCG, LLC, et al. v. Trevor Cook, et al.*,
Court File No. 27-CV-21722**

Dear Mrs. Beckman:

Pursuant to our telephone call today, I have enclosed for your convenience the following pleadings in the above-referenced *Oxford PCG v. Cook* matter:

- i) Complaint;
- ii) Defendant Trevor Cook's Answer and Counterclaim; and
- iii) Joint Answer to Counterclaim.

As we stated in our letter to you on December 6, 2013, we would like to dismiss this lawsuit. If you agree to this procedure, please sign the Stipulation for Dismissal with Prejudice sent with our December 6 letter, and return it to us by mail. If you do not agree, please let us know as soon as possible.

Thank you.

Best regards,



Brenton A. Elswick

Encl.

EXHIBIT H