

**UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA**

**UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,
Plaintiff,**

v. Case No. **09-cv-3333 (MJD/FLN)**

**TREVOR G. COOK, et al.,
Defendants,**

**R.J. ZAYED,
Receiver.**

**UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,
Plaintiff,**

v. Case No. **11-cv-574 (MJD/FLN)**

**JASON BO-ALAN BECKMAN, et al.,
Defendants,**

**R.J. ZAYED,
Receiver.**

**DECLARATION OF JOHN E. BIRKENHEIER IN SUPPORT OF
PLAINTIFF SECURITIES AND EXCHANGE COMMISSION'S
MOTION FOR SUMMARY JUDGMENT
AGAINST DEFENDANTS KILEY AND BECKMAN**

I, John E. Birkenheier, do hereby declare under penalty of perjury, in accordance with 28 U.S.C. § 1746, that the following is true and correct:

1. Attached as Exhibit 1 is a true and correct copy of Complaint, *SEC v. Trevor G. Cook, Patrick J. Kiley, et al.*, 09-cv-0333, Doc. # 1.
2. Attached as Exhibit 2 is a true and correct copy of Complaint, *SEC v.*

Jason Bo-Alan Beckman, et al., 11-cv-574, Doc. # 1.

3. Attached as Exhibit 3 is a true and correct copy of Second Superseding Indictment, *United States v. Beckman, et al.*, 11-cr-228, Doc. #162.

4. Attached as Exhibits 4 and 5 are true and correct copies of Verdict Forms, *United States v. Beckman, et al.*, Doc. ## 303 and 307.

5. Attached as Exhibit 6 and 7 are true and correct copies of Judgments, *United States v. Beckman, et al.*, 11-cr-228, Doc. ## 397 and 438.

6. Attached as Exhibit 8 is a true and correct copy of Registration Status Report for The Oxford Private Client Group, LLC (*SEC v. Beckman*, Doc. # 4-5, pp. 20-21).

7. Attached as Exhibit 9 are true and correct copies of Attestations of SEC Records Officer (*SEC v. Beckman*, Doc. # 4-6, pp. 6, 8.)

I, John E. Birkenheier, do hereby declare under penalty of perjury, in accordance with 28 U.S.C. § 1746, that the foregoing is true and correct. Executed on the 12 day of March 2015.

s/ John E. Birkenheier
John E. Birkenheier